

Review article

Non-viral gene transfection technologies for genetic engineering of stem cells

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Abstract

The recent rapid progress of molecular biology together with the steady progress of genome projects has given us some essential and revolutionary information about DNA and RNA to elucidate various biological phenomena at a genetic level. Under these circumstances, the technology and methodology of gene transfection have become more and more important to enhance the efficacy of gene therapy for several diseases. In addition, gene transfection is a fundamental technology indispensable to the further research development of basic biology and medicine regarding stem cells. Stem cells genetically manipulated will enhance the therapeutic efficacy of cell transplantation. In this paper, the carrier and technology of gene delivery are briefly overviewed while the applications to the basic researches of biology and medicine as well as regenerative medical therapy are introduced. A new non-viral carrier and the cell culture system are described to efficiently manipulate stem cells.

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Keywords: Non-viral gene carrier; Genetic engineering; Stem cells; Drug delivery system; Regenerative medical therapy

1. Introduction

Recent rapid progress of genome sciences and molecular biology has enabled to genetically analyze biological phenomena and promote the basic researches of gene biology and medicine. Genetic manipulation is one of the biomedical technologies and methodologies to replace a defective gene, correct a mutational gene, and induce an intrinsic healing potential [1,2]. Among them, gene therapies with genetic drugs for intractable diseases, such as genetic diseases, malignant tumors, and autoimmune diseases, have been clinically attempted [1,3–6]. On the other hand, the phenomenon of RNA sequence-specific gene suppression (RNA interference) has been discovered in mammalian cells

[7]. Genetic analysis and therapeutic trials by use of the small interference RNA (siRNA) have been greatly paid attention [8–11]. Efficient usage of the RNA-related mechanism will be able to artificially enhance or suppress the level of gene expression. In this circumstance, it is indispensable to develop the technology and methodology for safe and efficient gene delivery which play an important role in the research tool to identify and investigate the genes contributing to the biological function of cells, as well as in enhancement of in vivo efficacy in gene therapy or the transplantation therapy with cells genetically engineered.

The gene delivery system is generally divided into two categories in terms of gene transfection carrier materials: viral [12–15] and non-viral [16–20] systems. For the viral system, the vector of retrovirus, lentivirus, adenovirus, and adenoassociated virus has been used to be potentially efficient, although there still remain some drawbacks to be resolved for the clinical applications. Some scientific trials have been performed to tackle the cytotoxicity and immunogenicity of virus itself and the possible mutagenesis

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of cells transfected [21]. On the contrary, the non-viral system, such as naked plasmid DNA and plasmid DNA complexed with cationized carriers, is rather safe and has no limitation in the molecular size of DNA applied. However, the low transfection efficiency *in vitro* and *in vivo* is one major drawback for the research and therapeutic applications. Therefore, several synthetic materials, including calcium phosphate [22–24], cationized liposomes [25–27], and cationized polymers like poly-L-lysine [27–30] and poly-ethylenimine (PEI) [31–39], have been tried to molecularly design for not only the enhancement of cell internalization and transfection but also efficient delivery of plasmid DNA to the target site and the consequently high level expression. For successful gene therapy, it is necessary to consider the body distribution of gene injected pharmacokinetically and pharmacodynamically and achieve the efficient delivery of gene to the target organ and tissue [40–43]. Since generally gene expression by the non-viral system is transient, this system is not suitable to carry out the basic research of cells and treat diseases for which gene expression is required over several weeks or more. It has been well recognized that several viruses can integrate stably into the host genome, resulting in stable and long-term gene expression. However, the integration into the host genome possibly causes mutagenesis of cells transfected [21]. It is reported that non-viral gene carrier also can prepare cells stably expressed through an antibiotic selection [44]. However, the procedure is not practical because it takes a long time period to perform it and optimize the conditions. Therefore, to tackle the expression period issue, the controlled release inside the cells or in the tissue will be promising. Drug delivery system (DDS) technology and methodology are practically useful to modify and regulate the level and time period of gene expression.

In this paper, the DDS technology and methodology to enhance the *in vitro* and *in vivo* efficiency of gene expression are overviewed, while several examples of non-viral gene delivery and the gene expression data are introduced to emphasize importance of gene delivery technology in the basic researches of stem cells as well as the therapeutic applications.

2. Classification of non-viral gene delivery systems

2.1. Physical stimuli

Physical stimuli have been demonstrated to enhance the transfer of plasmid DNA into cells by positively carrying the DNA to the proximity of cell membrane and/or by temporarily microdisrupting the cell membrane. Based on this idea, several physical methods have been investigated to enhance gene expression *in vivo* by using the electric field (electroporation) [45–50], hydrodynamic pressure [51–54], ballistic pressure (gene gun) [55,56], magnetic field [57], and ultrasound [58–65]. *In vivo* electroporation of plasmid DNA has been attempted for a variety of tissues, such as the skin, kidney, lung, liver, skeletal and cardiac

muscles, joints, spinal cord, brain, retina, and cornea [47–50]. Electrical field applied can enhance the permeability of cell membrane, resulting in increasing the internalization of plasmid DNA into the cell. Indeed, *in vivo* electroporation dramatically increases the local level of gene expression compared with that of free plasmid DNA injection. However, the tissue damage associated with electroporation always limits the efficiency of gene transfection [66]. Another physical stimulus is pressure, which is applied for the vascular delivery of gene, called “hydrodynamic gene delivery”, improves *in vivo* transfection with a more widespread distribution than the local injection, particularly in the liver and skeletal muscle [51–54]. A rapid injection of plasmid DNA at an extremely high volume leads to highly efficient transfection in the liver. Zhang et al. have demonstrated that the hydrodynamic gene delivery results in a transient decrease in the heart function, but simultaneously a rapid increase in the venous pressure that leads to the fenestrae enlargement in the liver sinusoids [51]. This enabled the plasmid DNA to physically enhance the internalization into hepatocytes, thereby improving the efficiency of gene expression. When a large volume of plasmid DNA solution was injected into the femoral artery of non-human primates for a short time period, the plasmid DNA uptake and the expression were more efficient than those of the direct intramuscular injection [54]. Recently, Liang et al. have demonstrated that the hydrodynamic method achieves the high level expression of a dystrophin plasmid DNA in skeletal muscles for a mouse model of muscular dystrophy [67]. However, the practical application of this method to treat the Duchenne muscular dystrophy is still limited in terms of the low efficiency of plasmid DNA uptake by muscle cells and short time period of gene expression. In addition, there are still technological problems to be resolved for the clinical application of this method, such as the injection of a large volume of plasmid DNA with and the consequent tissue damage.

Bombardment of tissues by plasmid DNA-coated gold microspheres (gene gun) has been effective in gene expression only for superficial tissues, such as the skin. This approach allows the plasmid DNA to mechanically penetrate through the cell membrane into the cytoplasm or even the nucleus [55,56]. For the plasmid DNA coupled to paramagnetic nanoparticles, magnetic fields could provide the force necessary to assist the gene transfer. It has been demonstrated that the application of a strong magnetic field (magnetofection) after the local injection of plasmid DNA-coated paramagnetic nanoparticles enhanced the *in vivo* gene transfer to the gastrointestinal tract and the vasculature of ear [57]. However, since the level of gene expression is relatively low, further technical improvement of the method by combination with non-viral delivery systems is required for more efficient gene expression.

Recently, ultrasound (US) irradiation has been widely applied aiming at the efficacy improvement of therapeutic and diagnostic substances. It has been demonstrated that US irradiation could enhance the transfection efficiency of

plasmid DNA both in vitro and in vivo [59–65,68]. US irradiation generally generates the acoustic cavitation that can form transient pores at the cell membrane to accelerate the cellular uptake of plasmid DNA. It is recognized that this cavitation enables a plasmid DNA to deliver into the cytoplasm. Microbubbles of US contrast agents can act as “cavitation nuclei” and facilitate the generation of further cavitation. Taniyama et al. reported that transient pores were formed at the cell membrane in vitro immediately after US irradiation in the presence of Optison™, albumin-coated octa-fluoropropane gas microbubbles [59]. A combination of US irradiation with Optison [69,70] enhanced the transfection efficiency of plasmid DNA for the skeletal muscle [59], blood vessel [60], kidney [71], and spinal cord [72].

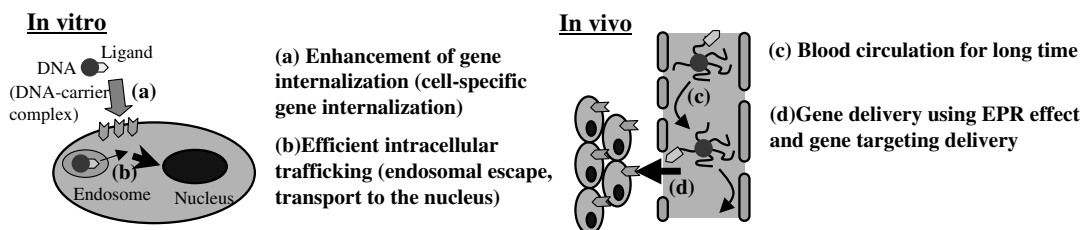
On the other hand, Unger et al. reported that the gene expression of plasmid DNA complexed with a cationic liposome was enhanced by US exposure [73]. Thus, combination with US irradiation is an important and useful strategy to modulate the expression level of plasmid DNA delivered with the non-viral system. We have experimentally confirmed the enhancing effect of US irradiation on the transfection efficiency of plasmid DNA combined with non-viral vectors in both in vitro [74,75] and in vivo [58,76]. As the non-viral carrier, gelatin derivatives prepared by the chemical introduction of different amine compounds, such as ethylenediamine (Ed), spermidine (Sd), and spermine

(Sm), were used to allow plasmid DNA to form a complex with the gelatin derivatives. Following transfection of luciferase plasmid DNA complexed with the gelatin derivatives into rat gastric mucosal (RGM-1) cells with or without US exposure, gene expression was assessed to evaluate the effect of the type of gelatin derivatives on the gene transfection [74]. The cells incubated with the complex exhibited significantly stronger luciferase activity than that of free plasmid DNA while the activity was further enhanced by US irradiation. Among the amine derivatives of gelatin, the Sm derivative was the most effective in enhancing the level of gene expression because of the buffering ability superior to those of the Ed and Sd derivatives. In vivo experiments have confirmed that US irradiation significantly enhanced the gene expression of plasmid DNA [58,76]. These findings strongly indicate that US is a promising combinational tool to enhance the expression level of plasmid DNA complexed with the non-viral carriers.

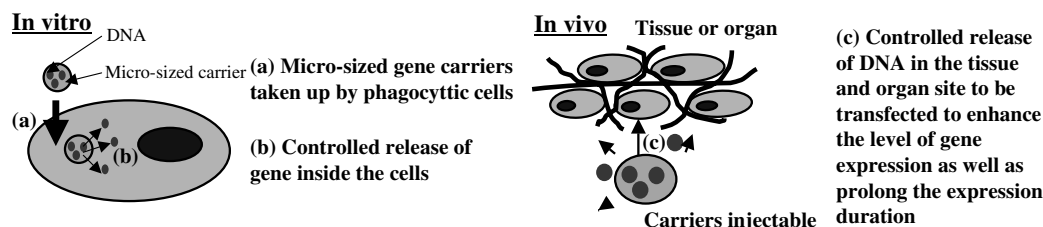
2.2. Polymer-based gene carriers

Polymer-based gene carriers can be divided into three categories in terms of the apparent molecular size. The carrier with different size ranges has a size-dependent material character and can be used considering the characteristic in different cases required (Fig. 1).

1) Nano-sized gene carriers



2) Micro-sized gene carriers



3) Macro-sized gene carriers

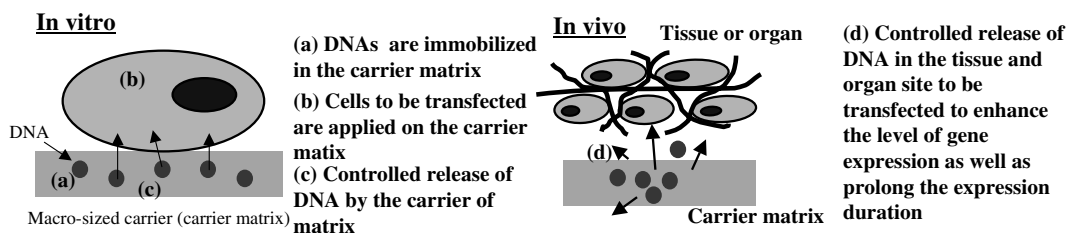


Fig. 1. Classification of non-viral gene carriers in terms of the carrier size.

2.2.1. Nano-sized gene carriers

Nano-sized gene carriers are mainly used for transit gene expression in vitro and the in vivo targeting of gene expression.

2.2.1.1. Nano-sized gene carriers for in vitro gene expression.

Many research or review papers have pointed out several problems to be improved for efficient gene expression in vitro. Firstly, it is difficult to allow a naked DNA itself to internalize and transfect into cells, only when the DNA is applied into the cell culture medium. The plausible reason is the molecular size and surface charge of DNA itself. DNA is a polyanion of phosphate group-repeated chain and has an expanded molecular structure due to the intramolecular repulsion force of negative charge at the physiological pH. On the other hand, the membrane of cells is also negatively charged. Therefore, it is well recognized that DNA cannot interact with the cell membrane due to the electrostatic repulsion and consequently hardly be internalized and transfected. To enhance the internalization of DNA into cells, the DNA-carrier complex should have an appropriate molecular size and surface charge which are suitable to the interaction with the cell membrane and subsequently internalization into cells [53]. As one trial to enhance the cell internalization of DNA, covalent coupling of the non-viral carrier with the ligand for cell surface receptors, such as folate [77–80], transferrin [81–83], mono- or oligosaccharides [84–89], peptides [90–94], and proteins [81–83,95–98], has been attempted. Secondly, efficient intracellular trafficking ways, such as efficient endosomal escape and transport to the nucleus after DNA internalization, must be regulated for efficient gene expression. Considering the normal intracellular trafficking, the DNA-carrier complex internalized via the endocytosis pathway is carried into the endosomal compartment, followed by the subsequent lysosomal degradation. Therefore, the carrier should be designed to allow to effectively escape the DNA-carrier complex from the endosome into the cytoplasm. For example, when a carrier was covalently linked with a peptide capable to disrupt the lysosome membrane under an acidic condition where lysosomal enzymes biologically function, it effectively enhanced the level of gene expression [99]. It is reported that the carrier covalently linked with functional groups having a buffering capacity to accelerate the endosomal escape, so-called “proton sponge effect”, enhanced the gene expression [100]. It is demonstrated that the carrier covalently linked with a peptide of nuclear localization signal (NLS) enables gene to positively transport to the nucleus [101].

Pullulan is a water-soluble polysaccharide with a repeated unit of maltotriose condensed through an α -1,6 linkage. The hydroxyl groups of pullulan can be chemically modified with ease to give it various chemical, physical, and biological properties. The carboxymethyl pullulan of negative charge is a promising drug carrier which can prolong the drug lifetime in the bloodstream [102]. Pullulan derivatives with cholesteryl groups self-aggregated to form

stable monodispersed nanoparticles of hydrogel which can incorporate water-soluble proteins, such as bovine serum albumin, chymotrypsin, and insulin [103–106]. Hydrogel microspheres prepared by the crosslinking of pullulan containing quaternary ammonium groups are tested as a bile acid sorbent [107]. On the other hand, pullulan has an inherent ability to accumulate in the liver [108] at higher amounts via the asialoglycoprotein receptor than other water-soluble polymers [109,110]. It is demonstrated that chemical conjugation with this pullulan enabled interferon (IFN) to target to the liver and consequently induce the IFN-specific enzyme thereat [111]. Since pullulan is a non-ionic polymer and cannot electrostatically interact with plasmid DNA, spermine was coupled covalently to allow pullulan to cationize by a *N,N'*-carbonyldiimidazole (CDI) activation method. Spermine is one of the polyamines present in the body, and cationization with spermine converted gelatin to a non-viral carrier of plasmid DNA with higher transfection efficiency than that with other amine compounds [76]. The cationized pullulan derivative was complexed with a plasmid DNA and applied to HepG2 cells for in vitro gene transfection. The level of gene expression depended on the molecular weight of pullulan and the extent of spermine introduced. Pre-treatment of cells with asialofetuin decreased the level of gene expression by the complexes. These findings indicate that the cationized pullulan derivative is a promising non-viral carrier of gene which is internalized in a receptor-mediated fashion [112].

2.2.1.2. Nano-sized gene carriers for in vivo gene expression.

Since DNA in the naked form is readily degraded and denatured by nucleases present in the body [113], it is necessary to protect DNA from the nuclease hydrolysis by the complexation with a carrier [114–116]. Additionally, after administration into the body, generally the rapid uptake of drug-carrier complex by the mononuclear phagocyte system (MPS) takes place, which prevents the drug injected from delivering to the site of action other than the MPS tissue and organ. One of the effective ways to tackle this problem is the surface modification of the drug-carrier complex with poly(ethylene glycol) (PEG) or PEG-like polymers. It is well known that the cationized polymer modified with PEG electrostatically interacts with DNA to obtain complexes with a core-shell micelle structure. The polymer micelles of plasmid DNA from poly-L-lysine (PLL), poly(ethylenimine) (PEI), and poly(di-methylaminoethyl methacrylate) (PAMA) showed the blood circulation for longer time periods than free plasmid DNA [117]. Nano-sized carriers modified with PEG or PEG-like polymers enabled DNA to accumulate in the tumor or inflammation site, because of the anatomical vascular characteristics, such as the leaky vasculature and the lack of lymph vessels, so-called enhanced permeability and retention (EPR) effect [118,119]. Pronectin F+, an artificial protein with repeated RGDS sequences, was cationized and modified with PEG. When intravenously injected to mice carrying a

subcutaneous mass of Meth-AR-1 fibrosarcoma, the complex of PEG-introduced cationized Pronectin F+ and plasmid DNA enhanced the level of gene expression in the tumor to a significantly high extent compared with the complex of PEG-free cationized Pronectin F+ and free plasmid DNA [120].

On the other hand, the cationized pullulan derivative was complexed with a plasmid DNA and intravenously injected for in vivo gene transfection. The liver level of gene expression by the pullulan derivatives depended on the extent of spermine introduced. When a plasmid DNA coding the NK4 of hepatocyte growth factor (HGF)/scatter factor antagonist was complexed with the cationized pullulan and intravenously injected to mice 1 day before RLmale1 tumor cell inoculation, the complex significantly prolonged the survival period of tumor-bearing mice for a longer time period, while the number of tumor cells grown in the liver and the glutamic pyruvate transaminase (GPT) level were low compared with those of plasmid DNA injection. These findings indicate that the liver targeting of NK4 plasmid DNA by complexation with the spermine–pullulan significantly enhanced the expression level specifically in the liver, resulting in augmented suppression effect on tumor growth [121].

In addition, we have demonstrated that the anti-fibrotic activity of a plasmid DNA of TGF- β receptor siRNA expression vector in a mouse model of renal fibrosis was significantly enhanced by the complexation of a cationized gelatin and the intrarenal injection [122]. The injection of plasmid DNA-cationized gelatin complex significantly decreased the level of TGF- β receptor and α -smooth muscle actin over-expression, the collagen content of fibrotic mice kidney, and the fibrotic area of renal cortex, in contrast to the free plasmid DNA injection.

2.2.2. Micro-sized gene carriers

Micro-sized gene carriers are mainly used for the controlled release of gene in the organ or tissue and in the inside of cells.

Biodegradable polymers have been employed for the controlled release of plasmid DNA to enhance the level of gene expression as well as prolong the expression duration. The controlled release technology enables the DNA to increase and prolong the local concentration over an extended time period around the tissue injected. The biodegradable polymers are formulated into various shapes, such as microspheres and sheets. The biodegradable polymers of microsphere shape can be used as micro-sized gene carriers of injectability. Several researches have been reported on the controlled release of DNA from the microspheres of biodegradable poly(lactic acid)-poly(ethylene glycol) [123], poly(2-aminoethyl propylene phosphate) [124], poly(β -(4-aminobutyl)-L-glycolic acid) [125], and gelatin [122,126–128]. We have prepared cationized gelatin by chemically introducing amine residues to the carboxyl groups of gelatin. The cationized gelatin prepared was crosslinked by various concentrations of glutaraldehyde

to obtain cationized gelatin hydrogels with different in vivo degradabilities as the release carrier of DNA. The cationized gelatin hydrogels can be easily formulated into a microsphere type. The hydrogel microspheres of cationized gelatin incorporating a plasmid DNA not only enhanced the level of gene expression to a significantly greater extent than the plasmid DNA injected in the solution form, but also prolonged the duration of gene expression. The time profile of plasmid DNA release was in good accordance with that of hydrogel microsphere degradation [126,128]. The plasmid DNA is immobilized and biologically stabilized in hydrogel microspheres by the complexation with the cationized gelatin. When the hydrogel microspheres incorporating plasmid DNA are injected, with the degradation of hydrogel to generate water-soluble cationized gelatin fragments, the controlled release of plasmid DNA-cationized gelatin complexes is achieved to enhance the concentration of plasmid DNA around the injection site, resulting in increased efficacy of gene transfection. For the hydrogel system, the plasmid DNA release is driven only by the degradation of release carrier, which is quite different from the conventional mechanism reported so far where the release of plasmid DNA is governed by the DNA diffusion through the water phase in the hydrogel. The controlled release technology promoted the biological activity of an anti-tumor plasmid DNA [127,129] of NK4 which is a protein composed of the NH₂-terminal hairpin and the subsequent four-kringle domains of HGF. It is known that the NK4 is an HGF antagonist and inhibits the bioactivity of HGF for tumor, such as tumor metastasis and angiogenesis. The subcutaneous injection of hydrogel microspheres incorporating the NK4 plasmid DNA into nude mice with ascitic AsPC-1 tumor cells significantly prolonged the mice survival compared with that of NK4 plasmid DNA in the solution form. On the other hand, matrix metalloproteinase-1 (MMP-1) digestion allows a fibrotic tissue to convert the tissue to healing-inducible state where the natural process of tissue regeneration can function to heal fibrosis. Cationized gelatin microspheres incorporating a MMP-1 plasmid DNA were injected into the subcapsule of mouse kidney in advance, and then the mice received streptozotocin (STZ) to induce diabetic renal disease. It is reported that the advanced lesion of STZ-induced diabetic kidney mimics some findings of early-stage clinical diabetic nephropathy. It has been shown that renal fibrosis was histologically suppressed by the application of cationized gelatin microspheres incorporating MMP-1 plasmid DNA, which was in contrast to that of free MMP-1 plasmid DNA. On the contrary, the administration of empty cationized gelatin microspheres was not effective and the tissue appearance was similar to that of the saline-administered control group [130]. It is concluded that the controlled release is a promising technology to enable plasmid DNA to enhance the in vivo biological effects. The cationized gelatin hydrogel microspheres can be also applied to the controlled release of small interfering RNA (siRNA) that silences the func-

tions of RNA in the sequence-specific manner. The cationized gelatin hydrogel microspheres incorporating a siRNA of heat shock protein 47 (HSP47), which is a collagen-specific molecular chaperone, were retrogradely injected via the ureter of unilateral ureteral obstruction (UUO) mice. Injection of the cationized gelatin hydrogel microspheres incorporating HSP47 siRNA achieved the controlled release at the injected site and improved the therapeutic effect compared with that of free HSP47 siRNA [131]. The cationized gelatin hydrogel microspheres incorporating a siRNA of vascular endothelial growth factor (VEGF), which is a key molecule in vasculogenesis as well as angiogenesis, were subcutaneously injected to tumor-bearing mice. Controlled release of the VEGF siRNA enabled to inhibit the expression of VEGF mRNA and secretion of VEGF protein in the tumor cells, resulting in suppression of tumor growth, which is contrast to that of free VEGF siRNA [132].

Moreover, it has been recognized that gelatin microspheres are taken up by phagocytic cells. For example, when given to human endothelial progenitor cells (EPC) of phagocytic property, the cationized gelatin microspheres incorporating plasmid DNA were internalized and consequently achieved the controlled release of plasmid DNA inside EPC [133]. This intracellular release technology could enhance the level of gene expression as high as or higher than that of an adenoviral vector [134].

2.2.3. Macro-sized gene carriers

Macro-sized gene carriers are mainly used for the controlled release of DNA in the tissue and organ sites to be transfected and the field of gene-based tissue engineering. In the macro-sized gene carrier systems, genes are immobilized in the biodegradable polymer matrix, followed by the application of cells to be transfected and the implantation or injection in vivo. Several researches have been reported on the controlled release of DNA by the carrier of matrix type prepared from biodegradable polymers, such as poly(D,L-lactic acid-co-glycolic acid) (PLGA) [135–141], poloxamer [116], poly(ethylene-co-vinyl acetate) [142], silk-elastinlike polymer [143], atelocollagen [144,145], denatured collagen-PLGA [146], and gelatin [126,128]. Mooney et al. have reported that the in vivo sustained release of a plasmid DNA encoding platelet-derived growth factor (PDGF) from a PLGA matrix carrier enhanced the deposition of extracellular matrix and blood vessel formation [135,136]. The controlled release of plasmid DNA with an atelocollagen minipellet has been investigated to demonstrate the enhancement of gene expression level and the consequent therapeutic effects with some disease model animals [144,145]. We have explored cationized gelatin hydrogel sheets as the macro-sized gene carrier in vivo. Generally, gelatin is not degraded by simple hydrolysis, but by proteolysis. The water content of hydrogel is one of the factors affecting the crosslinking extent of hydrogels; the higher the water content of hydrogels, the smaller their crosslinking extent. Hydrogel with smaller crosslinking

extents or higher water contents is more susceptible to enzymatic digestion, resulting in faster degradation. For example, a cationized gelatin hydrogel with a water content of 98.3 wt% was degraded with time to completely disappear in the femoral muscle of mice 14 days after implantation. The time periods of complete degradation for the cationized gelatin hydrogels with water contents of 97.4 and 99.7 wt% were 21 and 7 days, respectively [128]. This indicates that in vivo degradation of gelatin hydrogels can be controlled by their water content. When plasmid DNA was incorporated into the cationized gelatin hydrogel with different water contents and implanted into the mouse muscle, the remaining plasmid DNA decreased with time although the time profile depended on the type of hydrogel. The plasmid DNA remained in the muscle for longer time periods as the water content of hydrogels used became lower. The time profile of plasmid DNA remaining was well correlated with that of hydrogel remaining, irrespective of the hydrogel water content. This finding indicates that as expected, plasmid DNA was released from the cationized gelatin hydrogel as the hydrogel was degraded in vivo. It is likely that the plasmid DNA molecules ionically complexed with the cationized gelatin cannot be released from the cationized gelatin hydrogel unless hydrogel degradation takes place to generate water-soluble cationized gelatin fragments. Based on this release mechanism, it is conceivable that the plasmid DNA molecules are released from the hydrogels as a complex with degraded gelatin fragments of positive charge. If the plasmid DNA-cationized gelatin complex has a positive charge, the charge will be able to assist the cell internalization of plasmid DNA because the complex easily interacts ionically with the cell surface of negative charge. In addition, this complex prevents the plasmid DNA from the enzymatic degradation by DNase. It is well recognized that polyionic complexation effectively suppresses the DNase degradation of plasmid DNA [114–116]. Taken together, it is likely that the plasmid DNA is biologically stabilized by the incorporation into the hydrogel and the controlled release enhances the local concentration of plasmid DNA around cells to be transfected, resulting in increased efficiency of gene transfection. It has been shown that the time period of gene expression induced by lacZ plasmid DNA incorporated in hydrogel was significantly longer than that of lacZ plasmid DNA in the solution form. It is possible that the extended release technology enables the plasmid DNA to maintain the concentration at the implanted site for a longer time period, resulting in prolonged gene transfection.

2.3. Liposome-based gene carriers

Cationized liposomes have been investigated most extensively as the non-viral gene carrier for efficient gene delivery [147–149]. The cationized liposomes are composed of various kinds of lipids, such as quaternary ammonium detergents, the cationized derivatives of cholesterol and

diacylglycerol, and the lipid derivatives of polyamines. Complexation with the cationized liposome converts the charge of plasmid DNA positive to permit the interaction with the negative charge of cell membrane, resulting in enhancing gene transfection into cells. It has been demonstrated that dioleoylphosphatidylethanolamine (DOPE) of a natural lipid is capable of facilitating the endosomal escape of plasmid DNA [150]. The mixture of the DOPE with other cationized lipids has been commercially available as lipofection reagents. In addition, it has been demonstrated that the lipid composed of cholesterol and *N*-[1-(2,3-dimyristyloxy)propyl]-*N*, *N'*-dimethyl-*N*-(2-hydroxyethyl) ammonium bromide show a destabilizing property of endosome membrane [151]. The liposome can be prepared easily to include large-sized DNA [152] and has been applied to in vitro gene transfection. However, the liposome is not always suitable for in vivo gene delivery [153]. This is because the liposome injected intravenously tends to be mainly accumulated in the lung [154]. To overcome the issue of body distribution, modification of the liposome with cell-selective moieties has been attempted experimentally [42,155,156]. Kawakami et al. demonstrated that liposomes with the galactose and mannose residues on the surface were designed and demonstrated to deliver plasmid DNA selectively to liver parenchymal and liver non-parenchymal cells, respectively, in a receptor-mediated fashion, in contrast to the liposome without sugar residues [157–159].

A liposome with a fusogenic nature combined with the hemagglutinating virus of Japan (HVJ) designed [160]. The HVJ-liposome is prepared by the molecular fusion of liposome with HVJ which is inactivated by ultraviolet irradiation and expresses two glycoproteins of hemagglutinin–neuraminidase (HN) protein and fusion (F) protein on the surface [161]. The HN protein binds to a receptor of sialic acid and degrades the receptor through its neuraminidase activity. The F protein is cleaved by various proteases to generate a hydrophobic fusion peptide. Since the HVJ-liposome is internalized into cells in a membrane fusion-mediated fashion, it has a characteristic property to avoid the lysosomal degradation of plasmid DNA incorporated prior to the delivering to the cytoplasm [162]. The HVJ-liposome exhibits gene expression superior to the conventional liposome, but the level is not always as high as expected [162]. As the second generation, a HVJ-envelope (HVJ-E) vector, which is the HVJ particle itself without the liposome fusion, has been developed. The HVJ-E is a promising carrier to incorporate plasmid DNA as well as an antitumor agent [163], small interfering RNA (siRNA) [163], and magnetic beads [164]. It has been reported that the level of gene expression by HVJ-E conjugated with the cationized gelatin for tumor cells in vitro and that of liver cells in vivo after intravenous injection were enhanced to a significantly higher extent compared with those of HVJ-E alone [165].

Recently, to overcome the barrier of cell membrane and intracellular organella membranes against the efficient gene

expression, multifunctional envelope type nano device (MEND) has been proposed by Harashima and co-workers [166]. The MEND is composed of a lipid envelope and DNA-polycation complexes incorporated in the envelope. In addition, ligands for specific cells and membrane fusion peptides with a pH-responsive property can be introduced on the surface of lipid envelope. It has been reported that the MEND modified with transferrin [167] and octaarginine [168] was internalized into cells in a receptor-mediated endocytotic and a non-endocytotic fashion, respectively, to demonstrate the enhanced gene expression.

3. Applications of non-viral gene carriers to the basic biology and medicine of stem cell biology and regenerative medical therapy

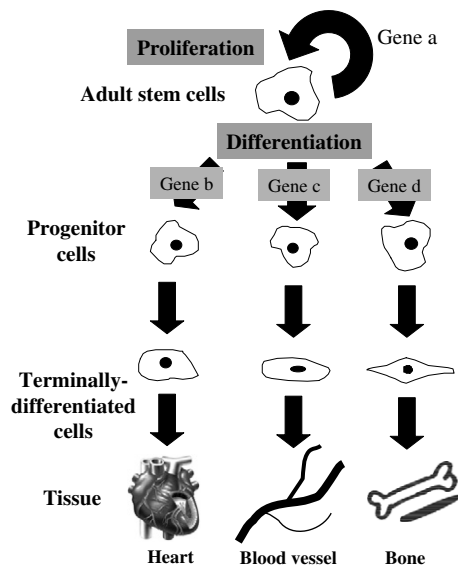
Since stem cells possess high potentials of proliferation and differentiation into different cell lineages, they have been widely investigated for their clinical applications to tissue repair and regeneration [169]. Compared with embryonic stem (ES), adult stem cells can be harvested from patients themselves and the ethical barrier for clinical applications is low. cells. Based on this situation, some clinical experiments of cell-based tissue regeneration have been conducted with adult stem cells, such as mesenchymal and neural stem cells. In addition to the inherent multipotentials of stem cells, it is no doubt that combinational use of humoral active factors provides an appropriate environment which enables the cells to enhance more efficiently their ability for tissue regeneration. Since gene transfection can genetically engineer stem cells in terms of the biological functions as well as the proliferation and differentiation abilities [170], it is necessary to develop efficient gene transfection technologies usable as a tool for the basic research of stem cell biology and medicine. In addition, stem cells genetically engineered by genes to activate and improve the biological functions can be used for cell transplantation therapy (gene-cell hybrid therapy) (Fig. 2). Recent research results about the non-viral carrier of cationized polysaccharide are introduced aiming at the enhancement of in vitro and in vivo gene expression in the following section.

3.1. In vitro gene transfection for stem cells by cationized polysaccharides

We have explored a cell-specific gene carrier of polysaccharides which can be recognized by the cell surface receptors of sugar-recognition for enhanced gene expression.

Pullulan, dextran, mannan, and amylopectin were used as the starting polysaccharides of gene transfection carrier. To cationize the polysaccharide for polyionic complexation with plasmid DNA, spermine was introduced to the hydroxyl groups of polysaccharide by a CDI activation method. Complexation with the polysaccharide derivatives enabled a plasmid DNA to enhance the expression level of cells to a significantly higher extent compared with those of LipofectAmine™ and Superfect™ commercially available,

1) Research tool for basic biology and medicine of stem cells



2) Genetic engineering of stem cells to achieve the biological functions

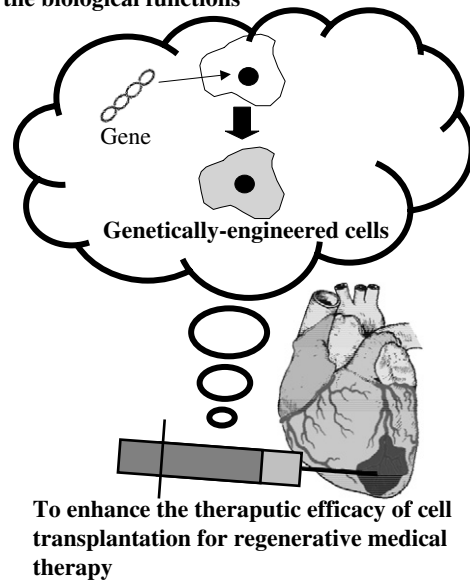


Fig. 2. Application of non-viral gene transfection technology to stem cell biology and regenerative medical therapy.

while the enhanced gene expression depended on the polysaccharide type. The complex of spermine–pullulan and plasmid DNA showed the highest level of gene expression among the plasmid DNA complexes with other cationized polysaccharides. An inhibition assay with asialofetuin, which is a ligand of the sugar-recognizable cell surface receptors, revealed that the blockage of cell receptors suppressed the level of gene expression. These findings suggest the possibility that the plasmid DNA complex with the cationized polysaccharide derivative is selectively internalized into cells through a sugar-specific receptor of cell surface, resulting in the enhanced gene expression. The similar enhancement of gene expression by the cationized polysaccharide was observed for other cells, such as embryonic stem cells, mesenchymal stem cells, and adipo-derived stromal cells (unpublished data). The cationized polysaccharide can be also applied to the transfection of siRNA that can silence gene expression in a sequence-specific manner to demonstrate the enhance siRNA effect (unpublished data).

3.2. New technologies of gene transfection to genetically engineer stem cells

A new non-viral method of gene transfection was designed to enhance the level of gene expression for rat mesenchymal stem cells (MSC). Pullulan was cationized by the chemical introduction of spermine to prepare cationized pullulan of non-viral carrier (spermine–pullulan). The spermine–pullulan was complexed with a plasmid DNA of luciferase and coated on the surface of culture substrate together with Pronectin® of artificial cell adhesion protein. MSC were cultured and transfected on the complex-coated substrate (reverse transfection) and the

level and duration of gene expression were compared with those of MSC transfected by culturing in the medium containing the plasmid DNA–spermine–pullulan complex (conventional method). The gene expression was enhanced and prolonged by the reverse transfection method to a significantly greater extent compared with that of the conventional method. The reverse method permitted the transfection culture of MSC in the presence of serum, in marked contrast to the conventional method, which gave cells a good culture condition, resulting in lower cytotoxicity. The reverse transfection could be carried out for a three-dimensional (3-D) culture substrate, the non-woven fabric of polyethylene terephthalate (PET) coated with the complex and Pronectin while the cell culture was developed by bioreactor systems, such as an agitated and stirring culture method. The level and duration of gene expression for MSC were significantly enhanced by the two bioreactor methods compared with those of the static method (Fig. 3). It is possible that the medium circulation improves the culture conditions of cells in terms of oxygen and nutrition supply and wastes, excretion, resulting in enhanced gene expression. These results strongly demonstrated that comparable to the research and development of non-viral carriers themselves, it is important to improve the technology and methodology of cell culture which give cells good conditions to maintain their vital and biological functions as well as efficiently enhance gene transfection [171].

Gene transfection in combination with three-dimensional (3-D) tissue constructs has been reported as a new trial [172,173]. An in vitro culture system which is composed of plasmid DNA-impregnated 3-D scaffold and a perfusion culture method has been developed to enhance the expression of plasmid DNA for MSC [174–176]. MSC were cultured in the collagen sponge reinforced with

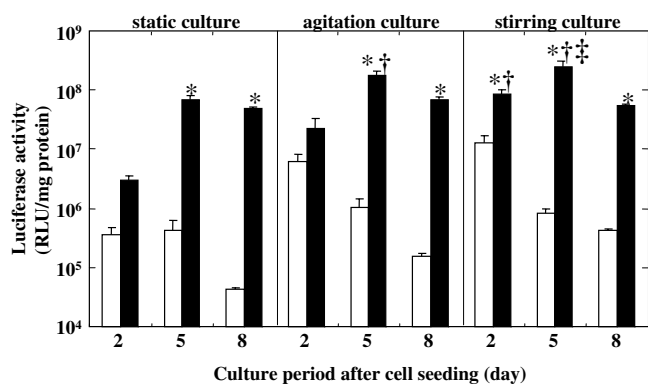


Fig. 3. Time course of luciferase expression level of MSC transfected by the conventional (open columns) and reverse methods (solid columns) in the static, agitation, and stirring cultures in the PET non-woven fabric: (open columns) the plasmid DNA-cationized pullulan complex in the absence of FCS and (solid columns) the complex in the presence of FCS. * $P < 0.05$ versus the level in the presence of FCS by the conventional method at the corresponding time. † $P < 0.05$ versus the level in the absence of FCS by the reverse method in the static culture at the corresponding time. ‡ $P < 0.05$ versus the level in the absence of FCS by the reverse method in the agitation culture at the corresponding time.

poly(glycolic acid) (PGA) fiber which incorporates the complexation of a non-viral vector and a plasmid DNA encoding bone morphogenetic protein (BMP)-2 gene. The level of in vitro gene expression was enhanced by combination of a perfusion culture method to a significantly greater extent than that of the conventional static culture method. Since the 3-D culture substrate has a large surface area available for cell attachment and the subsequent proliferation compared with the 2-D tissue culture plate, cells can be proliferated in the 3-D matrix at higher rates and for longer time periods than those in the 2-D one. Moreover, the perfusion culture method can supply nutrients and oxygen to the cells proliferated in the 3-D matrix more efficiently than the static culture method, while harmful metabolic products and wastes generated from cells can be excluded more efficiently. Our previous results demonstrated that the proliferation of MSC was greatly influenced by the culture methods and significantly enhanced by the perfusion culture method compared with the static method. The better the cell proliferation, the higher the level of gene transfection for the cells [177]. It is concluded that the extent of plasmid DNA transfection is greatly influenced by that of cell proliferation.

Macro-sized gene carriers are used for the field of microarrays to analyze or discover new genes contributing to the proliferation and differentiation of cells. The recent rapid development of molecular biology together with the steady progress of genome projects has given us many libraries of DNA and RNA and enabled to elucidate biological phenomena at a genetic level. Under these circumstances, it gets more and more important to develop high throughput screening systems. Microarray technology enables to analyze many genes functionally unknown all at once. The complex of gene and non-viral carrier is arrayed onto a glass microscope slide, followed by application of cells to

be transfected. The functions of genes unknown are rapidly analyzed by fluorescence or immunofluorescence etc [145,178–182].

3.3. Technology to genetically engineer stem cells for generative medical therapy

The controlled release system with the cationized gelatin is effective in genetically manipulating the biological function of stem cells as described above. The cationized gelatin microspheres incorporating plasmid DNA were readily taken up by the cells of phagocytic nature and could achieve the sustained release of plasmid DNA inside the cells. Interestingly, this intracellular release enabled the plasmid DNA to enhance the level of gene expression significantly higher than that of virus system [133]. This system will break through the virus-related problems that good therapeutic results of cells genetically engineered by virus vectors cannot be applied to the clinical medicine. Here, we introduced a new therapeutic concept for cell-based gene delivery (gene-cell hybrid therapy). This concept worked very well to therapeutically treat pulmonary hypertension for which there is no effective clinical treatment at present. Endothelial progenitor cells (EPC) of phagocytic nature were isolated and incubated with cationized gelatin microspheres incorporating plasmid DNA of angiogenic adrenomedullin to genetically engineer the biological function through the transfection of plasmid DNA. When the gene-engineered EPC were injected intravenously into monocrotaline (MCT)-induced pulmonary hypertension model rats, superior therapeutic effect was observed to that of original EPC injection. The EPC can be naturally recruited as a natural carrier of gene to the ischemic site of disease. The benefits of gene-cell hybrid therapy are due to inherent ability of EPC to phagocytose cationized gelatin microspheres capable of plasmid DNA release and the positive migration, that is natural targeting, to the sites of injured endothelium. When incubated with cationized gelatin microspheres incorporating green fluorescent protein (GFP) plasmid DNA and the GFP plasmid DNA solution, EPC, not monocytes/macrophages, were strongly transfected to express the GFP protein by the former, in remarked contrast to the latter. Although the Rhodamine B isothiocyanate-labeled DNA molecules were mainly distributed to the cytoplasm rather than nucleus, the DNA molecules incorporated in cationized gelatin microspheres were continuously released in the cytoplasm of EPC after phagocytosis and the cationized gelatin–DNA complexes released were transferred to the nucleus. This is because the microspheres incorporating plasmid DNA enhanced the level of DNA expression. There are several possible reasons why the DNA release was effective. It is possible that polyion complexation with cationized gelatin prevents the plasmid DNA from the enzymatic degradation in the cytoplasm. Moreover, the GFP-expressing EPC intravenously administered were incorporated into pulmonary arterioles and capillaries in MCT rats and differentiated into matured

endothelial cells. Taken together, it is highly possible that as expected, the EPC injected circulate in the blood and target to the pulmonary endothelia injured in MCT rats. Thus, EPC serves not only as a vehicle for gene delivery to injured pulmonary endothelia, but also as a tissue-engineering tool in restoring intact pulmonary endothelium. The injection of EPC genetically modified by the plasmid DNA of adrenomedullin significantly improved the therapeutic efficacy in the pulmonary hypertension compared with that of original EPC [133].

MSC are being expected as one of cell sources usable for cardiac reconstruction because of their differentiation potential and ability to supply growth factors. However, the therapeutic potential of MSC is often hindered by the poor viability at the transplanted site. Therefore, as one trial to overcome this issue, a non-viral carrier of cationized polysaccharide is introduced to genetically engineer MSC for activation of the biological functions. Spermine-introduced dextran of cationized polysaccharide (spermine-dextran) was internalized into MSC by way of a sugar-recognizable receptor to enhance the expression level of plasmid DNA. When genetically engineered by the spermine-dextran complex with plasmid DNA of adrenomedullin (AM), MSC secreted a large amount of AM which is an anti-apoptotic and angiogenic peptide (Figs. 4a and b). Transplantation of AM gene-engineered MSC significantly improved cardiac functions after myocardial infarction compared with that of MSC alone (Fig. 4c). Thus, this genetic engineering technology by the non-viral spermine-dextran is a promising strategy to enhance the therapeutic efficacy of MSC for ischemic heart disease [183].

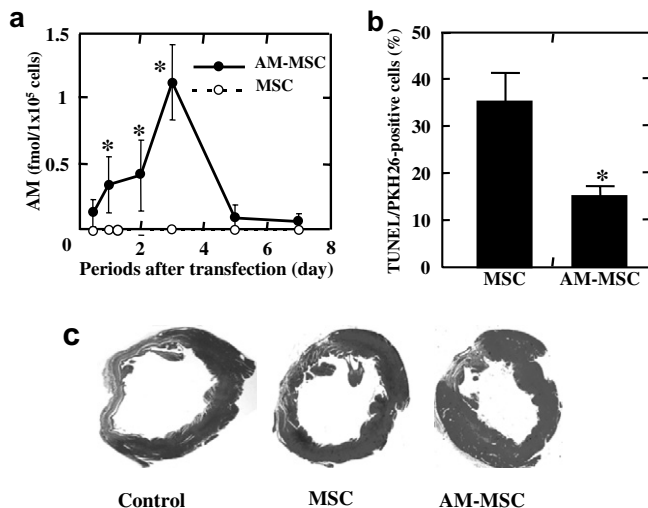


Fig. 4. (a) Time course of AM secreted from MSC following the transfection by spermine-dextran-AM plasmid DNA complexes (AM-MSC). * $P < 0.05$ versus the level of normal MSC at the corresponding time period. The level of AM secretion increased after 1-day incubation is the high level lasted for at least 3 days after gene transfection. (b) In vivo anti-apoptotic effects of AM-MSC. Quantitative analysis of in vivo TUNEL assay for AM-MSC and MSC. AM-MSC were rarely positive by TUNEL staining. * $P < 0.05$ versus the MSC. (c) Representative photographs of myocardial sections stained with Masson trichrome.

4. Conclusion

For gene transfection and expression, various carriers of viral and non-viral systems have been investigated and developed. Both the carriers have advantages and disadvantages for gene delivery. Depending on the applications, one can choose them considering the characteristic features. However, in case the carrier is used for the genetic manipulation and their medical therapy, from the viewpoint of the handling ease and clinical acceptance, the non-viral delivery system is practically preferable. So far, several non-viral vectors have been explored to enhance the level of gene expression as high as that of viral vectors. In addition, their poor selectivity for cells and tissues is another research point to be improved. As one trial to answer the selectivity issue, it is necessary to introduce DDS technology and methodology into the non-viral gene carrier system more positively. In near future, gene transfection technology is going to get more and more important not only for the basic research of medicine and biology, but also for the medical applications, such as gene, cell transplantation, and regenerative medical therapies. It has been reported that siRNA may modify the biological functions and differentiation fate of stem cells. It is possible that DDS technologies and methodologies enable siRNA-mediated biological manipulation of stem cells for efficient cell transplantation therapy. In addition, comparable to the research and development of non-viral carrier materials, it is important to improve the technology and methodology of cell culture for gene transfection. It is likely that better culture conditions make cells to maintain their vital and biological functions even in gene transfection, resulting in efficiently enhanced gene expression. As the genetic manipulation technology of stem cells is still in its infancy, it will take a time to become well established. Increasing significance of delivery technology and methodology in future will further help progress of stem cells biology and medicine as well as the medical applications. We will be happy if this review stimulates readers' interest in the idea and research field of stem cells engineering to assist understanding of gene delivery technology importance in genetic manipulation of stem cells.

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